Lattice Dynamics

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Outline

Day 1

- Interatomic interaction and vibrations
- Lattice vibrations and phonons
- Vibrational spectrum and properties

Day 2

- Mossbauer effect
- Vibrational density of states
- Isomer shift, Quadrupole splitting and Hyperfine interaction
- Applications















Inelastic Scattering !!!!

The **pitch** of a note **depends** on the frequency of the source of the **sound**.



solids	v (m/s)	liquids	<i>v</i> (m/s)
aluminum	6420	alcohol, ethyl	1207
glass, pyrex	5640	argon	319
wood, maple	4110	water, distilled	1497

The acoustic velocity is related to the change in pressure and density of the substance

$$v_s = \sqrt{\frac{dP}{d\rho}} = \sqrt{\frac{E}{\rho}}$$
 (Hooke's law)

Heat capacity is a measure of the amount of heat a material can **store** when the temperature is changed

	C_p (J/mol.K)
Al	24.3
Fe	25.7
Ni	26.8
Cu	24.4
Pb	26.9
Ag	25.5
С	10.9
Water	75.3

$$C_p = \frac{dU}{dT}$$

Dulong-Petit law (1819) states that the gram-atomic heat capacity (specific heat times atomic weight) of an element is a constant; that is, it is the same for all solid elements.



related to movement of atoms about their equilibrium positions



Structure

determined by electronic structure

Electronic and Physical properties

- Sound velocity
- Thermal properties: -specific heat -thermal expansion -thermal conductivity
- Hardness of perfect single crystals
- Vibrations

Vibrations of a 2D square lattice







Vibrations of a 2D square lattice

















1/a

















Normal mode vibrations of a 2D square lattice







Phonon band structure





Phonon dispersion





Phonon dispersion – Bloch Theorem



N

W



Vibrations of an isolated water







Vibrations of an isolated water









Vibrations of water in a crystal (ice Ic)







Vibrations of water in a crystal (ice Ic)



Vibrations of water in a crystal (ice Ic)











Scattering by x-ray



Qr << 1 collective dynamics e.g. phonons

Qr >> 1 single atom dynamics e.g. Compton Scatttering

	Elastic "Static"	Inelastic "Dynamics"			
	$\Delta \omega = 0$	$\Delta \omega \neq 0$			
Coherent	Bragg reflections	Phonon dispersion			
Incoherent	Diffuse scattering	Vibration density of states	4		
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Phonon dispersion of single crystal diamond by Inelastic X-ray Scattering











Phonon dispersion of polycrystals

Permafrost line

Melting hydrates

release methane



Methane plume Dissolved methane Thermogenic methane Ceological faults MH-SI MH-SI MH-SI MH-II MH-II

H₂O molecules

Methane CH4 molect

ice structure

Hydrate pressure	MH-II 17 kbar	MH-III 21 kbar	MH-sI 0.2 kbar 0.90 8.0	
ρ (g/cm ³)	1.07 (Ref. 4)	1.16 (Ref. 4)		
B (GPa)	14.4 (Ref. 18)	23.5 (Ref. 4)		
v_p (km/s)	4.2 ± 0.1	4.6 ± 0.1	3.7	
C (GPa)	18.9 ± 0.8	24.5 ± 1.0	12.3	
G (GPa)	3.4 ± 0.6	0.8 ± 0.7	3.3	
v_s (km/s)	1.8 ± 0.15	0.8 ± 0.4	1.9	

J. Baumert, C. Gutt, V. P. Shpakov, J. S. Tse, M. Krisch, M. Müller, H. Requardt, D. D. Klug, S. Janssen, and W. Press, *Phys. Rev.* B 68, 174301 (2003)

J. Baumert, C. Gutt, M. Krisch, H. Requardt, 4 M. Müller, J. S. Tse, D. D. Klug, and W. Press, Phys. Rev., B 72, 054302 (2005)

Heat Capacity – Einstein model



There is a temperature dependence (*i.e.* distribution) of the oscillators! Introduce Bose-Einstein distribution,

$$\bar{n} = \frac{1}{\mathrm{e}^{\hbar\omega/k_{\mathrm{B}}T} - 1}$$

Energy levels are equally spaced!

the total internal energy of the solid $U = 3N\hbar\omega\left(\bar{n} + \frac{1}{2}\right)$

$$C_{\rm v} = \left(\frac{\partial U}{\partial T}\right)_{\rm v} = 3Nk_{\rm B}F_{\rm E}\left(\frac{\hbar\omega}{k_{\rm B}T}\right)$$

 x^2





Heat Capacity – Einstein/Debye model



Einstein Approximation: all modes (oscillators) have the same frequency $\Rightarrow \omega_E$

Debye approximation: In the low temperature limit acoustic modes dominate. *i.e.* there is distribution of vibration modes !

Therefore the total internal energy should be,







Debye model – vibrational density of states

Debye assumed a dispersion relationship (phonon in a box)

$$\omega_j(k) = ck$$

and a phonon distribution function

$$g(\omega)d\omega \propto 4\pi k^2 dr$$

therefore,

$$g(\omega) = D\omega^2$$

with a cutoff frequency, ω_D

$$g(\omega) = \frac{V}{2\pi^2} \left(\frac{1}{v_l^3} + \frac{2}{v_t^3} \right) \omega^2 = \frac{3V}{2\pi^2} \frac{\omega^2}{v_a^3}$$

$$U = \frac{3V\hbar}{2\pi^2 v_s^3} \int_0^{\omega_p} \omega^3 \frac{1}{\exp(\hbar\omega/kT) - 1} d\omega$$

$$c_V = \int_0^{\omega_{\rm D}} \frac{3V\omega^2}{2\pi^2 c^3} \hbar \omega \frac{\partial n}{\partial T} \,\mathrm{d}\omega$$





Phonon in a box



the total energy in the lattice vibrations is of the form

expressed in terms of the phonon modes by expressing the integral in terms of the mode number n.

let
$$x_{\text{max}} = \frac{hv_s n_{\text{max}}}{2LkT} = \frac{hv_s}{2kT} \left(\frac{6N}{\pi V}\right)^{1/3} = \frac{T_D}{T}$$
 the integral takes the form U

$$U = 3 \int_{0}^{E_{\text{max}}} \frac{E}{e^{E/kT} - 1} dE$$

$$U = \frac{3\pi}{2} \int_{0}^{n_{\text{max}}} \frac{hv_{s}n}{2L} \frac{n^{2}}{e^{hv_{s}n/2LkT} - 1} dn$$

$$T = \frac{9NkT^4}{T_D^3} \int_0^{T_D/T} \frac{x^3}{e^x - 1} dx$$





What can we learn from Debye temperature?



Table 4.5 Debye temperatures T_D , heat capacities, and thermal conductivities of selected elements

	Crystal							
	Ag	Be	Cu	Diamond	Ge	Hg	Si	W
$\overline{T_D(\mathbf{K})^*}$	215	1000	315	1860	360	100	625	310
$C_m(\mathrm{J} \mathrm{K}^{-1} \mathrm{mol}^{-1})^\dagger$	25.6	16.46	24.5	6.48	23.38	27.68	19.74	24.45
$c_s (J \text{ K}^{-1} \text{ g}^{-1})^{\dagger}$	0.237	1.825	0.385	0.540	0.322	0.138	0.703	0.133
$\kappa \; (\mathrm{W} \; \mathrm{m}^{-1} \mathrm{K}^{-1})^{\dagger}$	429	183	385	1000	60	8.65	148	173





Theoretical lattice dynamics - Harmonic approximation

Force constant, Hooke's Law

$$\Phi^{ij}_{\alpha\beta} = \frac{\partial^2 E_{tot}}{\partial u^i_{\alpha} \partial u^j_{\beta}} = -\frac{\partial F^i_{\alpha}}{\partial u^j_{\beta}} \approx -\frac{F^i_{\alpha}}{u^j_{\beta}} \qquad i, j = 1, N$$

Dynamic matrix is the Fourier transformation of force constants

$$D_{\alpha\beta}^{ij}(q) = \frac{1}{\sqrt{M_i M_j}} \sum_{L} \Phi_{\alpha\beta}^{i,j+L} e^{-iq \Box (R^{j+L} - R^i)}$$

Diagonalize Dynamic matrix to get phonon dispersions, and DOS

$$m_j \omega^2(\mathbf{k}, \mathbf{v}) \mathbf{U}(j, \mathbf{k}, \mathbf{v}) = \sum_{j'l'} \Phi \begin{pmatrix} jj' \\ 0l' \end{pmatrix} \cdot \mathbf{U}(j', \mathbf{k}, \mathbf{v}) \exp (i\mathbf{k} \cdot [\mathbf{r}(j'l') - \mathbf{r}(j0)]$$








Theoretical molecular dynamics Beyond harmonic approximation



J. M. Dickey and A. Paskein, *Phys. Rev.* 188, 1407 (1969) 81, 6124 (1984)



End of Part I





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Recoilless Nuclear Resonance Absorption of Radiation -Mossbauer Effect



Before 1958 it was thought impossible for nuclei to absorb and emit γ -rays resonantly. Due to conservation of momentum, the emitting and absorbing nuclei would lose some of the γ -ray energy by recoiling, $p_{nucleus} = -p_{\gamma}$, therefore eliminating any chance of the γ -ray being absorbed again by another nucleus - this due to the very narrow linewidth of some nuclear energy levels (due to their long lifetime - the uncertainty principle!) However, Mossbauer showed that if the absorber and emitting atoms are embedded in a lattice (as in a solid) the *recoil due to the* γ -ray *may in fact be taken up by the entire solid*, making the energy loss negligible. Effectively, the γ radiation emitted by their nuclei are very close to being recoil-free. This implies that the emitted photon has the exact frequency that corresponds to the transition energy between the nuclear ground state and the excited state. This is the essence of Mossbauer Spectroscopy: the discovery of *recoil-free* nuclear resonance emission and absorption.

$$E_{recoil} = \frac{1}{2}mv^2 = \frac{p^2}{2m} = \frac{p^2c^2}{2mc^2} = \frac{(14.4keV)^2}{2(53.022GeV)} \equiv 0.002eV$$





Mossbauer active nuclei

H		_													_		Не
Li	Be Unsuitable												C	N	0	F	Ne
Na	Mg	Mössbauer-active probe										Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	Ι	Xe
Cs	Ba	*	Hf	Та	W	Re	Os	Ir	Pt	Au	Hg	TI	Pb	Bi	Po	At	Rn
Fr	Ra	**	104~														
*Lanthanide		La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu	
**Actinide		Ac	Th	Pa	U	Np	Pu	Am	Cm		Cf	Es	Fm	Md	No	Lr	





Non-resonant Inelastic X-ray Scattering (NRIXS)



Advantage of VDOS – no selection rules



Selection rules:

- Infrared
 Only "u" modes are active
 I ∝ |∂µ/∂q|²
 - Raman Only "g" modes are active $I \propto |\partial \alpha / \partial q|^2$
- NRVS All modes are active $I \propto VDOS$





Matt Smith, et al Inorg. Chem., 2005, 44,5562

Extraction of sound velocity



Properties derived from vibrational density of states

The partition function for the harmonic lattice is given by

$$\ln Z^N = -3N \int \ln\left(2\sinh\frac{\beta E}{2}\right) g(E) \,\mathrm{d}E$$

the vibrational energy per atom

$$U = -\frac{\partial \ln Z}{\partial \beta} = \frac{3}{2} \int E \coth \frac{\beta E}{2} g(E) \, \mathrm{d}E$$

vibrational entropy per atom S

$$S = k_{\rm B}\beta U + k_{\rm B}\ln Z$$

the free energy per atom F

$$F = -\frac{1}{\beta} \ln Z$$

the specific heat per atom at constant volume

$$c_V = \frac{\partial U}{\partial T} = k_{\rm B} \beta^2 \frac{\partial^2 \ln Z}{\partial \beta^2} = 3k_{\rm B} \int \left(\frac{\beta E}{2\sinh(\beta E/2)}\right)^2 g(E) \,\mathrm{d}E$$

mean force constant

$$F_m = \frac{9}{10} \frac{k^2}{E_r} k_{\rm B}^2 \theta_{\rm D}^2$$





H.K. Mao, et.al., Science 292, 914 (2001)



Improved calculated vibrational DOS for $\epsilon\text{-}Fe$





Phase stability - Hematite Fe₂O₃



Why it also work for multi-component systems?



Phonon anharmonicity

- 1. The heat capacity becomes T independent for $T>T_D$.
- 2. There is no thermal expansion of solids.
- 3. Thermal conductivity of solids is infinite

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If the lattice potential is harmonic, the phonon frequencies are volume-independent, and the thermal expansion coefficient is zero at all temperatures.



Theoretical lattice dynamics - Harmonic approximation

Force constant, Hooke's Law

$$\Phi^{ij}_{\alpha\beta} = \frac{\partial^2 E_{tot}}{\partial u^i_{\alpha} \partial u^j_{\beta}} = -\frac{\partial F^i_{\alpha}}{\partial u^j_{\beta}} \approx -\frac{F^i_{\alpha}}{u^j_{\beta}} \qquad i, j = 1, N$$

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Theoretical molecular dynamics Beyond harmonic approximation



J. M. Dickey and A. Paskein, *Phys. Rev.* 188, 1407 (1969) 81, 6124 (1984)



SnI₄ – effect of temperature



H. Liu, J. S. Tse, M. Y. Hu, W. Bi, J. Zhao, E. E. Alp, M. Pasternak, R. D. Taylor, and J. C. Lashley *J. Chem. Phys.*, **143**, 164508 (2015);





Anharmonic motions of Kr in the clathrate hydrate



J. S. Tse, D. D. Klug, J. Y. Zhao, W. Sturhahn, E. E. Alp, J. Baumert, C. Gutt, M. R. Johnson and W. Press[,] *Nature Materials*, 4, 917 - 921 (2005)

Analysis of the VDOS - Anharmonicity

The Lamb-Mössbauer factor is the ratio of recoil-free to total nuclear resonant. The Debye-Waller factor describes the attenuation of x-ray scattering or coherent neutron scattering caused by thermal motion.







Anharmonicity and Thermal conductivity - SnSe

LETTER







Analysis of the momentum of the dynamics structure factor



Soft mode and anharmonicity at structural phase transition

Hematite Fe_2O_3 Corundum $(Al_2O_3) \rightarrow Rh_2O_3$





Hyperfine interactions are interactions between the nucleus and the electromagnetic fields produced by the surrounding electrons. In a solid, we need to include the *electromagnetic* fields produced by the neighboring atoms or ions.

In the Mossbauer effect, there are mainly three types of hyperfine interactions:

- 1. Electric monopole interaction, which causes isomer shift, a shift of the entire resonance spectrum.
- 2. Electric quadrupole interaction, which causes quadrupole splitting of the spectral lines.
- 3. Magnetic dipole interaction, which causes Zeeman splitting of the spectral lines magnetic hyperfine splitting.





Isomer shift

The Mössbauer isomeric shift is the shift observed when one compares two different nuclear isomeric states in two different physical, chemical or biological environments (e.g. between the source and absorber). The magnitude of the difference is dependence on the *total s*-electron density at the nucleus, $|\psi_s(0)|^2$.



Quadrupole splitting

A nucleus that has a spin quantum number $I > \frac{1}{2}$ has a non-spherical charge distribution Q. An asymmetric charge distribution around the nucleus causes an asymmetric electric field at the nucleus, characterised by a tensor quantity called the Electric Field Gradient (EFG), ∇E . The electric quadrupole interaction between these two quantities gives rise to a splitting in the nuclear energy levels.







Magnetic splitting

A magnetic splitting occurs through the interaction between the nuclear dipole moment and the magnetic field.









Synchrotron based Experimental techniques



Calculated Mossbauer transmission spectra (a), nuclear forward scattering spectra in energy (b) and in time (c) domain for the case of a quadrupole doublet in a 0.2 mm thick stainless steel foil 100% enriched in ⁵⁷Fe. (d), (e), and (f) are the corresponding spectra for a 3.0mmthick stainless steel foil 100% enriched in ⁵⁷Fe.





$HS \rightarrow LS$ transition in Hematite Fe_2O_3





Fe₃S₄ - the ubiquitous [Fe₄S₄] cube



1/4 unit cell of inverse spinal structure A site (tetrahedral position) B site (octahedral position)



Inverse spinels (B(AB)O₄):

The A^{II} ions occupy the octahedral voids, whereas half of B^{III} ions occupy the tetrahedral voids.

It can be represented (B^{III})^{tet}(A^{II}B^{III})^{oct}O₄

$$(\mathrm{Fe}^{3+}_{\mathbf{T}}(\mathrm{Fe}^{2+}_{\mathbf{O}}\mathrm{Fe}^{3+}_{\mathbf{O}})\mathrm{S}^{2-}_{4})$$



Is there a Verwey transition in gregeite?







High resolution Mossbauer spectra



A single X-ray pulse followed 1.594 μ s later by a 493 ns-long segmented pulse-train. This pattern repeats with a frequency of 271.554 kHz (period of 3.6825 μ s)



Fe₃S₄ at ambient pressure





High to low spin and structural transitions at high pressure







Comparison of Energy and Time domain Mossbauer spectra



High pressure Energy and Time domain Mossbauer spectra













$$\mathrm{IS}_i = \alpha \left\{ [\rho_e(\boldsymbol{r}_e)]_{\boldsymbol{r}_e \to \boldsymbol{R}_i} - [\rho_e(\boldsymbol{r}_e)]_{\boldsymbol{r}_e \to \boldsymbol{R}_{src}} \right\}$$

Isomer shift *electron density at nucleus* $\delta = (|\psi_{sample}(0)|^2 - |\psi_{source}(0)|^2) \times 4/5 \pi Ze^2 R^2 \times (\Delta R/R)$

- $\Delta R/R$ is the change of radius in ground and excited state (negative for ⁵⁷Fe)
- $|\psi(0)|^2$ is the probability to find an electron at the ⁵⁷Fe nucleus
- only s-electrons have non-zero probability to be at nucleus
- · d-electrons affect s-electron density by shielding

Isomer shift of pure Fe under high pressure

Fe localized basis set (uncontracted): (18s4sp10p6d3f1g)

Pressure (GPa)

Isomer shift of Fe oxides under high pressure







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